

PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Qingyun Liu, Terrence McDonald, Timothy P. Bonnert, Gordon Ng, Lee F. Kolakowski, Jr., Janet Clark, and Tom I. Bonner

Serial No.: 09/601,582

Filed: December 4, 2000

For: Novel GABAB Receptor DNA Sequences

Group Art Unit: 1646

Examiner: Kaufman, Claire M.

Atty. Dkt. No.: 4003.005400

### ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73 AND POWER OF ATTORNEY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned, being Assignee of record of an interest in the above-identified application by virtue of an assignment recorded in the United States Patent and Trademark Office as set forth below, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

The Assignee hereby revokes any previous Powers of Attorney and appoints the practitioners at Customer Number 23720.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to Board of Regents, The University of Texas System, referenced below, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Please direct all communications as follows:

Shelley P.M. Fussey, Ph.D. WILLIAMS, MORGAN & AMERSON, P.C. 10333 Richmond, Suite 1100 Houston, Texas 77042 (713) 934-7000

ASSIGNEE:

Board of Regents, The University of Texas System

By: BethLynn Maxwell, Ph.D., V.D.

Date: 4 May 04

ASSIGNMENT:

$\boxtimes$	Concurrently	filed	
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#### CERTIFICATE OF MAILING 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

May 12, 2004

Date

Shelley P.M. Fussey

**PATENT** 

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# NOTICE OF CHANGE OF CORRESPONDENCE ADDRESS

Commissioner for Patents P.O. Box 1450 Arlington, VA 22313-1450

Sir:

Please change correspondence address as follows:

Shelley P.M. Fussey, Ph.D. WILLIAMS, MORGAN & AMERSON, P.C. 10333 Richmond, Suite 1100 Houston, Texas 77042

> Respectfully submitted, Williams, Morgan & Amerson, P.C. Customer No. 23720

Shelley P.M. Fussey, Ph.D. Reg. No. 39,458

Agent for Applicants

10333 Richmond, Suite 1100 Houston, Texas 77042 (713) 934-7000

Date: May 12, 2004



FORM PTO-(Rev. 10/02)

# RECORDATION FORM COVER SHEET

U.S. Department of Commerce

OMB No. 0651-0027 (exp. 6/30/2005)	PATENTS UNLT	Patent and Trademark Office		
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.				
Name of conveying party(ies):	2. Name and address	s of receiving party(ies):		
Lee F. Kolakowski, Jr.  Additional name(s) of conveying party(ies) attached? Yes	<u>-</u>	niversity of Texas System		
Nature of conveyance:     Assignment     Merger	Street Address: 2	01 West 7 <sup>th</sup> Street		
Security Agreement Change of Name	City: Austin	State: Texas Zip: 78701		
Other Execution Date: July 28, 2001	Additional name(s) &	à address(es) attached? Yes No		
4. Application number(s) or patent number(s):				
If this document is being filed together with a new application, the execution date of the application is:				
A. Patent Application No.(s):	B. Patent No.(s):			
09/601,582				
Additional numbers attached? Yes No				
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of a	pplications and patents involved: 1		
Name: Shelley P.M. Fussey, Ph.D. Williams, Morgan & Amerson, P.C. 10333 Richmond, Suite 1100	Enclosed	R. 3.41)		
City: Houston State: Texas Zip: 770	8. Deposit account n	umber: 50-0786/4003.005400 copy of this page if paying by deposit account)		
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9. Statement and signature.	^			
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.				
Shelley P.M. Fussey		May 12, 2004		
Name of Person Signing, Reg. No. 39,458	Signature Total number of pages in	Date cluding cover sheet, attachments and documents: 3		
Mail documents to be recorded with required cover sheet information to:				

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1450, on the date below:	Ωh.
May 12, 2004	
Date	Shelley P.M. Fussey

SOLE Case: 20052YP U.S. Serial No.: 09/601,582 Filing Date: August 2, 2000

# <u>ASSIGNMENT</u>

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, I,

LEE F. KOLAKOWSKI, JR., of 2429 HARTFELL ROAD, TIMONIUM, MD 21093 do hereby sell, assign and transfer to BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM (the "Assignee") having a place of business at 201 West 7<sup>th</sup> Street, Austin, Texas 78701, the entire right, title and interest, for all countries, in and to certain inventions relating to

# NOVEL GABAB RECEPTOR DNA SEQUENCES

described in an application for Letters Patent of the United States, in U.S. Serial No. 09/601,582, filed August 2, 2000, in International Application No. PCT/US99/02361, filed February 3, 1999, designating the U.S., and in provisional application Serial No. 60/073,767, filed February 5, 1998, and all the rights and privileges, including any and all benefits under the International Convention for the Protection of Industrial Property under any and all Letters Patents which may be granted therefor, and under any and all extensions, divisionals, reissues and continuations of said LettersPatents.

I request that any and all Patents for said inventions be issued to said assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

I agree that, when requested, I will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining Patents for said inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

I covenant, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

I covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumberance, and that I have full right to convey the same as herein expressed.

I hereby authorize any attorney with Power of Attorney in this application, of the Assignee, to insert Serial No., and Filing Date of said application(s) when known.

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signed at	Baltimore, Maryland	this <u>28</u> day of <u>AULY</u> , 2001
	,	9-7-16 Salar (1945)
		LEE F. KOLAKOWSKI, JR.
		LEE F. KOLAKOWSKI, IR.

SOLE Case: 20052YP U.S. Serial No.: 09/601,582 Filing Date: August 2, 2000

STATE OF MARYMAND
COUNTY OF BANTAD RE

Personally appeared before me the above-named LEE F. KOLAKOWSKI, JR.

to me known and know to me to be the person(s) who executed the foregoing instrument and acknowledged said instrument to be

his/her free act and deed this 28 day of July, 2001

FRANK LAY
NOTARY PUBLIC STATE OF MARYLAND
My Commission Expires June 1, 2003

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